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March 18, 2005

Glenn P. Ladwig, Patent Attorney

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Examining Group 1632

Patent Application

Docket No. USF-212XZ1T

Serial No. 10/709,801

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

(Not yet assigned)

Art Unit

1632

Applicants

Caroline Desponts, Joseph Wahle, John M. Ninos, William G. Kerr

Serial No.

10/709,801

Filed

May 28, 2004

For

Inhibition of SHIP to Enhance Stem Cell Harvest and Transplantation

MS AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.97 AND 1.98

Sir:

In accordance with 37 CFR §1.56, the references listed on the attached form PTO/SB/08 are being brought to the attention of the examiner for consideration in connection with the examination of the above-identified patent application. A copy of each cited reference is enclosed. However, the applicants have not submitted copies of the U.S. patents or published U.S. applications cited on attached Form PTO/SB/08 pursuant to 37 CFR 1.98(a)(2)(ii).

The applicants respectfully assert that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted,

Glenn P. Ladwig

Patent Attorney

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352-372-5800 P.O. Box 142950

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Gainesville, FL 32614-2950

GPL/mv

Attachments: Form PTO/SB/08 (6 pages); copies of some references cited therein.

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PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for form	n 1449A/PTO			Complete if Known		
			IDE	Application Number	10/709,801	
••	TION DISCL			Filing Date	May 28, 2004	
SIAIEIVIE	NT BY APPL	_10/	AN I	First Named Inventor	Caroline Desponts	
(us	se as many sheets a	s nec	essary)	Art Unit	1632	
				Examiner Name		
Sheet	1	of	6	Attorney Docket Number	USF-212XZ1T	

			U.S. PATENT DO	OCUMENTS		
Examiner Initials*	Cite No. 1 Number - Kind Code ² (i		Number - Kind Code ² (if MM-DD-YYYY of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	U1	US-10/605,452	09-30-2003	Kerr et al.	All	
	U2	US-10/904,667	11-22-2004	Kerr et al.	All	
	U3	US-2002/0137711 A1	09-26-2002	Kerr	All	
	U4	US-2002/0165192 A1	11-07-2002	Kerr et al.	All	
	U5	US-4,603,112	07-29-1986	Paoletti et al.	All	
	U6	US-4,769,330	09-06-1988	Paoletti et al.	All	
	U7	US-4,777,127	10-11-1988	Suni et al.	All	
	U8	US-5,017,487	05-21-1991	Stunnenberg et al.	All	
	U9	US-5,166,057	11-24-1992	Palese et al.	All	

		FOREIGN	PATENT DOCU	JMENTS		
Examiner Initials*	Cite No. 1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	F1	WO 89/01973 A2	03-09-1989	Applied Biotech. Inc.	All	
	F2	WO 91/02805 A2	03-07-1991	Viagene, Inc.	All	
	F3	WO 92/06693 A1	04-30-1992	Fox Chase Cancer Ctr.	All	
	F4	WO 97/10252 A1	03-20-1997	Fred Hutchinson Cancer Research	All	
	F5	WO 97/12039 A2	04-03-1997	Krystal	All	
	F6	EP 0 345 242 A2	12-06-1989	Smithkline Biologicals	All	

Examiner	Date
Signature	Considered

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Translation is attached.

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Sheet	2	of	6	Attorney Docket Number	USF-212XZ1T		

			U.S. PATENT DO	OCUMENTS	
Examiner Initials*	Cite No. 1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	U10	US-6,090,621	07-18-2000	Kavanaugh et al.	All
	U11	US-			
	U12	US- ·			
	U13	US-			
	U14	US-			
	U15	US-			
	U16	US-			
	U17	US-			
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		FOREIGN	PATENT DOCU	JMENTS		
		Foreign Patent Document	Dublication Date	Name of Detector of	Pages, Columns, Lines,	
Examiner Initials*	Cite No. ¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T⁵
	F7	EP 0 440 219 A1	08-07-1991	Schwiz, Serum- & Impfinstitut Bern	All	
	F8	GB 2 200 651	08-10-1988	Khalaf Al-Sumidale	All	
	F9					
	F10	-				
	F11					
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Signature	Considered	i

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Complete if Known Substitute for form 1449B/PTO 10/709,801 **Application Number** INFORMATION DISCLOSURE May 28, 2004 **Filing Date** STATEMENT BY APPLICANT **First Named Inventor** Caroline Desponts 1632 **Group Art Unit** (use as many sheets as necessary) **Examiner Name** Attorney Docket Number USF-212XZ1T Sheet of 6 3

		NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. 1							
	R1	AGRAWAL, S. "Antisense oligonucleotides: towards clinical trials" <i>TIBTECH</i> , 1996, 14:376-387.						
	R2	AGRAWAL, S. and KANDIMALLA, E. "Antisense therapeutics: is it as simple as complementary base recognition?" <i>Molecular Med. Today</i> , 2000, 6:72-81.						
	R3	AKAGI, K. et al. "Cre-mediated somatic site-specific recombination in mice" Nucleic Acids Res, 1997, 25(9):1766-1773.						
	R4	BENDER, M.A. et al. "Description and targeted deletion of 5' hypersensitive site 5 and 6 of the mouse β-globin locus control region" <i>Blood</i> , 1998, 92:4394-4403.						
	R5	BRAASCH, D.A. and COREY, D.R. "Novel antisense and peptide nucleic acid strategies for controlling gene expression" <i>Biochemistry</i> , 2002, 41(14):4503-4510.						
	R6	BRANCH, A. "A good antisense molecule is hard to find" <i>Trends in Biochem.</i> , 1998, 23:45-50.						
	R7	CANTLEY, L.C. et al. "Oncogenes and signal transduction" Cell, 1991, 64:281-302.						
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,	R10	DESPONTS, C. et al. "MHC class I inhibitory receptors on natural killer cells recruit SHIP in an attempt to control cell survival" FASEB Journal, March 20, 2002, 16(4):A706, abstract.						
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	R12	FISHER-HOCH, S.P. et al. "Protection of rhesus monkeys from fatal Lassa fever by vaccination with recombinant vaccinia virus containing the Lassa virus glycoprotein gene" PNAS, 1989, 86:317-321.						
	R13	GEWIRTZ, A.M. et al. "Facilitating oligonucleotide delivery: Helping antisense deliver on its promise" <i>Proc. Natl. Acad. Sci. USA</i> , 1996, 93:3161-3163.						

Examiner	Date	
Signature	Considered	

control number.

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STAT	EMENT BY A	\PF	PLICANT	First Named Inventor	Caroline Desponts	
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	R14	GHANSAH, T. et al. "A role for the SH2-containing inositol phosphatase in the biology of natural killer cells and stem cells" Activating and Inhibitory Immunoglobulin-like Receptors, 2001, pp. 129-140.	
	R15	GHANSAH, T. et al. "Target disruption of Src homology 2-containing 5' inositol phosphatase (SHIP) alters PI3K/AKT and MAPK signal transduction pathways in murine natural killer cells" FASEB Journal, March 20, 2002, 16(4):A706, abstract.	
	R16	GHANSAH, T. et al. "The Src homology 2 containing inositol phosphatase is vital for the function and homeostatis of Natural Killer cells" FASEB Journal, March 7, 2001, 15(4):A655, abstract.	11
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	R20	HELGASON, C.D. et al. "Targeted disruption of SHIP leads to hemopoietic perturbations, lung pathology, and a shortened life span" Genes & Dev., 1998, 12(11):1610-1620.	
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	R22	JEFFERSON, A.B. et al. "Properties of type II inositol polyphosphate 5-phosphatase" J. Biol. Chem., 1995, 270(16):9370-9377.	
	R23	JEN, K-Y and GEWIRTZ, A.M. "Suppression of gene expression by targeted disruption of messenger RNA: Available options and current strategies" Stem Cells, 2000, 18:307-319.	
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Examiner	Date	
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_	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Filing Date	May 28, 2004	
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	R27	KLIPPEL, A. et al. "Membrane localization of phosphatidylinositol 3-kinase is sufficient to activate multiple signal-transducing kinase pathways" Mol. Cell. Biol., 1996, 16(8):4117-4127.	
	R28	KOH, C. et al. "Augmentation of antitumor effects by NK cell inhibitory receptor blockade in vitro and in vivo" Blood, 2001, 97(10):3132-3137.	
	R29	KOLLS, J. et al. "Prolonged and effective blockade of tumor necrosis factor activity through adenovirus-mediated gene transfer" PNAS, 1994, 91:215-219.	
	R30	LANIER, L.L. "NK cell receptors" Annual Rev of Immunology, 1998, 16:359-393.	
	R31	LIU, L. et al. "The Src homology 2 (SH2) domain of SH2-containing inositol phosphatase (SHIP) is essential for tyrosine phosphorylation of SHIP, its association with Shc, and its induction of apoptosis" <i>J. Biol. Chem.</i> , 1997, 272:8983-8988.	
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	R34	LOTZOVA, E. et al. "Prevention of Rejection of Allogeneic Bone Marrow Transplants by NK-1.1 Anti Serum" Transplantation, 1983, 35(5):490-494.	
	R35	LUCAS, D.M. and ROHRSCHNEIDER, L. "A novel spliced form of SH2-containing inositol phosphatase is expressed during myeloid development" <i>Blood</i> , 1999, 93(6):1922-1933	
	R36	OKADA, H. et al. "Cutting edge: Role of the inositol phosphatase SHIP in B cell receptor-induced Ca ²⁺ oscillatory response" <i>J. Immunol.</i> , 1998, 161:5192-5132.	
	R37	OVERBAUGH, J. et al. "Molecular cloning of a feline leukemia virus that induces fatal immunodeficiency disease in cats" Science, 1988, 239:906-910.	
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V 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	R39	PIHL-CAREY, K. "Disease drug fails in phase III" <i>BioWorld Today</i> , 1999, 10:1-2.	

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	R40	POZNANSKY, M. et al. "Gene transfer into human lymphocytes by a defective human immunodeficiency virus type 1 vector" J. Virol., 1991, 65:532-536.	
	R41	RUGGERI, L. et al. "Role of natural killer cell alloreactivityin HLA-mismatched hematopoietic stem cell transplantation" Blood, 1999, 94(1):333-339.	
	R42	SABIN, A.B. and BOULGER, L.R. "History of Sabin attenuated poliovirus oral live vaccine strains" J. of Biol. Standardization, 1973, 1:115-118.	
	R43	SAMULSKI, R.J. et al. "Helper-free stocks of recombinant adeno-associated viruses: normal integration does not require viral gene expression" J. Vir., 1989, 63(9):3822-3828.	
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	R45	TAMM, I. et al. "Antisense therapy in oncology: new hope for an old idea?" The Lancet, 2001, 358:489-497.	
	R46	WANG, C.Y. and HUANG, L. "pH-sensitive immunoliposomes mediate target-cell-specific delivery and controlled expression of a foreign gene in mouse" <i>PNAS</i> , 1987, 84:7851-7855.	
	R47	WANG, J-W. et al. "Influence of ZSHIP on the NK Repertoire and Allogeneic Bone Marrow Transplantation" Science, 2002, 295(5562):2094-2097.	
	R48	WOLF, I et al. "Cloning of the genomic locus of mouse SH2 containing inositol 5-phosphatase (SHIP) and a novel 110-kDa splice isoform, SHIPδ" Genomics, 2000, 69(1):104-112.	
	R49	YOKOYAMA, W.M. "Natural killer cell receptors" Current Opin in Immunology, 1998, 10(3):298-305.	
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	R51		
	R52		

Examiner	Date
Signature	Considered

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1 Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO).

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